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United States Patent and Trademark Office UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov SEP 1 4 2007 APPLICATION NO 100 FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 10/757,331 01/14/2004 Robert A. Ashworth 040020 3466 7590 09/04/2007 **EXAMINER** ROBERT A. ASHWORTH 2663 TANGLEWOOD DRIVE BOYER, RANDY WOOSTER, OH 44691 **ART UNIT** PAPER NUMBER 1764 **DELIVERY MODE** MAIL DATE 09/04/2007 **PAPER**

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

PE 40					
\(\sigma\)	Application No.	Applicant(s)			
SEP 1 4 2007 (2)	10/757,331	ASHWORTH, ROBERT A.			
Office Action Summary	Examiner	Art Unit			
Randy Boyer 1764					
The MAILING DATE of this communication	appears on the cover sheet	with the correspondence address			
Period for Reply A SHORTENED STATUTORY PERIOD FOR RI WHICHEVER IS LONGER, FROM THE MAILIN - Extensions of time may be available under the provisions of 37 CI after SIX (6) MONTHS from the mailing date of this communication If NO period for reply is specified above, the maximum statutory period for reply within the set or extended period for reply will, by any reply received by the Office later than three months after the earned patent term adjustment. See 37 CFR 1.704(b).	G DATE OF THIS COMMUNITY IN COMUNITY IN COMMUNITY IN COMMUNITY IN COMMUNITY IN COMMUNITY IN COMU	A TION. a reply be timely filed ONTHS from the mailing date of this communication. ABANDONED (35 U.S.C. § 133).			
Status					
1)⊠ Responsive to communication(s) filed on a 2a)⊠ This action is FINAL . 2b)□ 3)□ Since this application is in condition for all closed in accordance with the practice unit	This action is non-final. owance except for formal materials	atters, prosecution as to the merits is .D. 11, 453 O.G. 213.			
Disposition of Claims		·			
4) ⊠ Claim(s) <u>1 and 3-13</u> is/are pending in the 4a) Of the above claim(s) is/are wit 5) □ Claim(s) is/are allowed. 6) ⊠ Claim(s) <u>1 and 3-13</u> is/are rejected. 7) ⊠ Claim(s) <u>1</u> is/are objected to. 8) □ Claim(s) are subject to restriction a	hdrawn from consideration.	· ·			
Application Papers	•.				
9) The specification is objected to by the Exa 10) The drawing(s) filed on is/are: a) Applicant may not request that any objection to Replacement drawing sheet(s) including the continuous the output of the continuous continu	accepted or b) objected or the drawing(s) be held in abe orrection is required if the drawing.	vance. See 37 CFR 1.85(a). ng(s) is objected to. See 37 CFR 1.121(d).			
Priority under 35 U.S.C. § 119					
12) Acknowledgment is made of a claim for for a) All b) Some * c) None of: 1. Certified copies of the priority docu 2. Certified copies of the priority docu 3. Copies of the certified copies of the application from the International B * See the attached detailed Office action for	ments have been received. ments have been received in e priority documents have be ureau (PCT Rule 17.2(a))	n Application No en received in this National Stage			
Attachment(s) 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-94) 3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date 29 April 2005.	Paper (ew Summary (PTO-413) No(s)/Mail Date of Informal Patent Application			

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DETAILED ACTION

Response to Amendment

- 1. Examiner acknowledges response filed 24 May 2007 containing amendments to the claims and remarks.
- 2. Examiner acknowledges that the amendments to the claims are sufficient to overcome the previous rejections under 35 U.S.C. 101 and 35 U.S.C. 112, second paragraph.
- 3. Objection is made with respect to claim 1.
- 4. New grounds for rejection necessitated by Applicant's amendment to the claims are entered with respect to claims 1 and 3-13. The objection and rejections follow.

Claim Objections

- 5. Claim 1 is objected to for lack of antecedent basis in the claim.
- 6. With respect to claim 1, the claim recites the limitation "the mix section." There is insufficient antecedent basis for this limitation in the claim.

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Claim Rejections - 35 USC § 102

7. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office Action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 8. Claims 1 and 3-13 are rejected under 35 U.S.C. 102(b) as being anticipated by Ashworth (US 4423702).
- 9. With respect to claims 1 and 8, Ashworth discloses a method for the removal of sulfur from carbonaceous fuel comprising: (a) introducing a carbonaceous fuel that contains sulfur into a partial oxidation unit operating at a stoichiometric air to fuel ratio of 0.40 to 0.80 (see Ashworth, column 3, lines 35-63; and column 5, lines 8-9), providing a reducing operating condition for high levels of sulfur capture in an alkaline molten fuel ash slag under reducing conditions with carbon, carbon monoxide, and hydrogen as the reducing agents from partial oxidation (see Ashworth, column 4, lines 20-29); (b) introducing an alkali with the fuel or via a separate stream into the partial oxidation unit, the alkali reducing molten carbonaceous fuel ash viscosity and reacting with the sulfur species liberated from the fuel (see Ashworth, column 3, lines 48-63); and (c) separating a fuel gas molten liquid slag following the contact of the fuel gas-molten liquid slag in the mix section while under highly reducing condition, wherein a molten slag mixture comprising an alkali and a sulfur compound is removed to a water quench system (see Ashworth, column 4, lines 20-29).

Ashworth does not explicitly disclose wherein the fuel contains mercury or

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wherein the process may be used for the removal of mercury from a carbonaceous fuel.

However, Ashworth discloses wherein the carbonaceous fuel may be coal (see Ashworth, column 3, lines 36-43). Moreover, mercury is known to be an inherent property of coal. Thus, it follows that mercury will *necessarily* be removed with the molten slag mixture of Ashworth's process. When an examiner has reason to believe that the functional language asserted to be critical for establishing novelty in claimed subject matter may in fact be an inherent characteristic of the prior art, the burden of proof is shifted to Applicant to prove that the subject matter not shown in the prior art does not possess the characteristics relied upon. See MPEP § 2112(V) (citing *In re Fitzgerald*, 619 F.2d 67, 70 (CCPA 1980)).

Finally, Examiner notes Applicant's specification at page 3 wherein Applicant admits that "[h]igh levels of mercury capture were found to be an inherent feature of a staged combustor [citing Ashworth (US 4423702)] developed for the reduction of sulfur and nitrogen oxides to the atmosphere." In this regard, the court has instructed "the fact that a characteristic is a necessary feature or result of a prior-art embodiment is enough for inherent anticipation, even if that fact was unknown at the time of the prior invention" (emphasis added). See MPEP § 2112(II) (quoting <u>Toro Co. v. Deere & Co.</u>, 355 F.3d 1313, 1320 (Fed. Cir. 2004)).

Thus, Examiner finds that Ashworth inherently discloses all the limitations of Applicant's claims 1 and 8.

10. With respect to claims 3 and 9, Ashworth discloses wherein the carbonaceous fuel is coal (see Ashworth, column 3, lines 36-43).

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- 11. With respect to claims 4 and 10, Ashworth discloses wherein the slag is maintained as a reducing liquid media by performing the partial oxidation at a temperature range 2200°F to 3000°F (see Ashworth, column 3, lines 53-59).
- 12. With respect to claims 5, 6, 11, and 12, Ashworth discloses wherein the alkali may be limestone, nahcolite, trona, or mixtures thereof (see Ashworth, claim 8).
- 13. With respect to claims 7 and 13, Ashworth discloses wherein the sulfur compound is captured in a mineral complex that is non-soluble in water (see Ashworth, column 4, lines 20-29). Moreover, Applicant admits that "[h]igh levels of mercury capture were found to be an inherent feature of a staged combustor [citing Ashworth (US 4423702)] developed for the reduction of sulfur and nitrogen oxides to the atmosphere" (see Applicant's specification at page 3).

Response to Arguments

14. Applicant's arguments with respect to all claims have been considered but are moot in view of the new ground(s) of rejection.

Conclusion |

15. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office Action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

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A shortened statutory period for reply to this final action is set to expire THREE. MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

16. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Randy Boyer whose telephone number is (571) 272-7113. The examiner can normally be reached Monday through Friday from 8:00 A.M. to 5:00 P.M.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Glenn A. Caldarola, can be reached at (571) 272-1444. The fax number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a

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Glenn Caldarok Supervisory Patent Examinat Technology Center 1700 Please type a plus sign (+) inside this box \prod

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	10/757,331	ď
Filing Date	January 14, 2004	
First Named Inventor	Robert Ashworth	
Group Art Unit	1764	
Examiner Name		
Attorney Docket Number	040020	

U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	U.S. Pate	Kind Code 2 (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/RB/	 	4,889,698		Moller et al.	12/26/89	
/RB/	†	5,672,323		Bhat et al.	9/30/97	
/RB/	1	5,900,042		Mendelsohn et al.	5/4/99	
/RB/	1	6,214,304		Rosenthal et al.	4/10/01	
/RB/	1	6,372,187		Madden et al.	4/16/02	
/RB/_	1	4,395,975		Ashworth et al.	8/2/83	
/RB/	1	4,423,702		Ashworth et al.	1/3/84	
/RB/		5,458,659		Ashworth	10/17/95	
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Signature	manay Boyon	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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¹ Unique citation designation number. 2See attached Kinds of U.S. Patent Documents. 3Enter Office that issued the document by the two-letter code (WPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Notice of References Cited Application/Control No. 10/757,331 Applicant(s)/Patent Under Reexamination ASHWORTH, ROBERT A. Examiner Randy Boyer 1764 Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	Α	US-4,423,702	01-1984	Ashworth et al.	48/210
	В	US-		OE.	
	С	US-		O'VE Way	
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NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.